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# The union of moving polygonal pseudodiscs – Combinatorial bounds and applications

Mark de Berg<sup>a,\*,1</sup>, Hazel Everett<sup>b,2</sup>, Leonidas J. Guibas<sup>c,3</sup>

<sup>a</sup> *Department of Computer Science, Utrecht University, P.O. Box 80.089, 3508 TB Utrecht, The Netherlands*

<sup>b</sup> *Département d'Informatique, Université du Québec à Montréal, Case postale 8888, Succursale Centre-Ville, Montréal, Québec, Canada, H3C 3P8*

<sup>c</sup> *Department of Computer Science, Stanford University, Stanford, CA 94305, USA*

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## Abstract

Let  $\mathcal{P}$  be a set of polygonal pseudodiscs in the plane with  $n$  edges in total translating with fixed velocities in fixed directions. We prove that the maximum number of combinatorial changes in the union of the pseudodiscs in  $\mathcal{P}$  is  $\Theta(n^2\alpha(n))$ . In general, if the pseudodiscs move along curved trajectories, then the maximum number of changes in the union is  $\Theta(n\lambda_{s+2}(n))$ , where  $s$  is the maximum number of times any triple of polygon edges meet in a common point. We apply this result to prove that the complexity of the space of lines missing a set of  $n$  convex homothetic polytopes of constant complexity in 3-space is  $O(n^2\lambda_4(n))$ . This bound is almost tight in the worst case. © 1998 Elsevier Science B.V. All rights reserved.

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## 1. Introduction

Let  $\mathcal{P}$  be a set of polygons in the plane with  $n$  edges in total. Each polygon translates with a fixed velocity in a fixed direction. Our goal is to bound the number of changes in the combinatorial structure of the union of the polygons. For arbitrary convex polygons this problem is easy: the number of changes is  $O(n^3)$ , and this bound is tight in the worst case—see Section 2. If we put some restrictions on the polygons then the problem becomes more challenging. In this paper we study the case where the set  $\mathcal{P}$  is a collection of pseudodiscs: at any time  $t$ , the boundaries of any two polygons intersect in two points, or in a connected set. In Section 2 we prove that in this case the maximum number of changes in the union

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\* Corresponding author.

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is only  $\Theta(n\lambda_3(n))$ , where  $\lambda_q(n)$  is the maximum length of an  $(n, q)$ -Davenport–Schinzel sequence. The function  $\lambda_q(n)$  is roughly linear in  $n$  for any constant  $q$  [1]; for instance,  $\lambda_3(n) = \Theta(n\alpha(n))$ , where  $\alpha(n)$  denotes the extremely slowly growing functional inverse of the Ackermann function.

Our proof technique is robust with respect to the type of motions: if the polygons move along curved trajectories such that any vertex of one polygon crosses an edge of another polygon at most some fixed constant number of times, and any triple of edges meet in a common point at most  $s$  times, then we can show that the number of changes in the union is still roughly quadratic, namely  $O(n\lambda_{s+2}(n))$ . The polygons are even allowed to deform during the motions, as long as they remain a collection of polygonal pseudodiscs at any time.

The generality of our result makes it easy to apply in various settings. Suppose, for example, that we want to bound the complexity of the free space of a polygonal convex robot  $R$  of constant complexity moving amidst a collection of polygonal convex obstacles in the plane with  $n$  edges in total. If the robot is allowed to translate only, then the free space can be described as the complement of the union of a set of pseudodiscs—namely the Minkowski sums of the obstacles with the image of  $R$  under central reflection—which has  $O(n)$  complexity [6]. When the robot is allowed to rotate also, we need three parameters to describe a placement:  $x$ - and  $y$ -coordinate of a reference point, and its orientation  $\theta$ . Hence, the configuration space is three-dimensional. Notice that every cross-section of constant  $\theta$  of the configuration space consists of a set of polygonal pseudodiscs. So if we sweep a plane  $h$  in the  $\theta$ -direction through configuration space, we get a set of moving pseudodiscs. The number of features of the free space corresponds exactly to the number of changes in the union of the pseudodiscs in the cross-section during the sweep. Thus our result applies, provided we can bound the number of times three edges of the pseudodiscs meet during the sweep. This way we can obtain the same bound on the complexity of the free space of a translating and rotating robot in the plane as Leven and Sharir [7]. In fact, our proof technique for bounding the changes in the union of moving pseudodiscs is very similar to their technique for bounding the free space complexity in the above-mentioned problem. The main difference is that our description is more general, which makes it directly applicable in many problems.

For instance, suppose we want to bound the complexity of the free space of a convex polygon translating amidst convex polyhedral obstacles in 3-space with  $n$  edges in total. Halperin and Yap [5] study this problem for the case where the robot is a triangle. By adapting the proof technique of Leven and Sharir they show an  $O(n^2\alpha(n))$  bound on the complexity of the free space. Our result on moving pseudodiscs is immediately applicable in this setting, yielding an  $O(n^2\alpha(n))$  bound on the total free space complexity—see the technical-report version [3] for details. We remark that for the more general case of polyhedral robots, where our technique does not work, Aronov and Sharir [2] proved an  $O(nk \log^2 k)$  bound on the complexity of  $k$  Minkowski sums with a total of  $n$  edges.

Another example of the applicability of our moving pseudodiscs result deals with a seemingly very different problem, namely the interaction between lines and polyhedra in 3-space. In many problems this interaction plays a fundamental role—the ray shooting problem is an example. It is therefore essential to understand the combinatorial and algorithmic issues involved in the interaction [4]. A natural way to classify lines with respect to a given set of polyhedra is to distinguish between lines that intersect one or more of the polyhedra, and lines that miss all polyhedra. For an arbitrary set of polyhedra the maximum complexity of the space of missing lines is  $\Theta(n^4)$ , but in special cases better bounds are sometimes possible. For instance, Pellegrini [8] proved that the space of lines missing a starshaped polyhedron (such as a polyhedral terrain) with  $n$  edges is  $O(n^3 \log n)$ . Using our result on moving pseudodiscs, we

prove in Section 3 that the space of lines missing a set of  $n$  constant complexity convex homothets in 3-space, such as axis-aligned cubes, is also roughly cubic, namely  $O(n^2 \lambda_4(n)) = O(n^3 2^{\alpha(n)})$ .

## 2. The combinatorics of moving pseudodiscs

Let  $\mathcal{P} = \{P_1, P_2, \dots, P_k\}$  be a set of convex polygons in the plane with  $n$  edges in total. Each polygon  $P_i$  is moving along a trajectory  $\vartheta_i$ . We denote the polygon  $P_i$  at time  $t$  by  $P_i(t)$ . We consider the case where the polygons are pseudodiscs. More precisely, we require that the set of polygons  $P_i(t)$  form a set of pseudodiscs at any time  $t$ . (A collection of polygons is called a set of pseudodiscs if the boundaries of any pair of polygons intersect in two points, or in a connected set. The Minkowski sums of a set of disjoint convex obstacles with a fixed convex robot in the plane is an example of a collection of pseudodiscs [6].) The union of the polygons  $P_i(t)$  changes continuously as  $t$  increases. At certain times, however, the combinatorial structure of the union changes. The goal of this section is to prove the following theorem on the number of combinatorial changes.

**Theorem 2.1.** *Let  $\mathcal{P}$  be a set of moving polygonal pseudodiscs in the plane with  $n$  edges in total. Suppose that the trajectories satisfy the following properties:*

- *any vertex of one polygon crosses any edge of another polygon at most some fixed constant number of times,*
- *any triple of edges from three distinct polygons are concurrent (that is, meet in a common point) at most  $s$  times.*

*Then the maximum number of changes in the union of the pseudodiscs is  $\Theta(n \lambda_{s+2}(n))$ .*

If the pseudodiscs translate with fixed velocities in fixed directions, then any triple of edges meets at most once, and the maximum number of changes in the union will be  $\Theta(n \lambda_3(n)) = \Theta(n^2 \alpha(n))$ .

In fact, our proof applies in a slightly more general setting than that of Theorem 2.1, which is summarized in the corollary below. Because the setting of Theorem 2.1 is more intuitive we shall describe the proof in this setting. It holds, however, almost verbatim in the setting of Corollary 2.2.

**Corollary 2.2.** *Let  $\mathcal{P}$  be a set of moving and deforming objects in the plane that satisfies the following conditions:*

- *at any time,  $\mathcal{P}$  forms a collection of convex polygonal pseudodiscs (this implies that the edges of the polygons may deform but should remain straight line segments),*
- *edges may appear or disappear on the boundary of the objects, but the total number of edges ever appearing on the boundary of the objects is  $n$  in total,*
- *any vertex of one polygon crosses any edge of another polygon at most some fixed constant number of times,*
- *any triple of edges from three distinct polygons are concurrent (that is, meet in a common point) at most  $s$  times.*

*Then the maximum number of changes in the union of  $\mathcal{P}$  is  $\Theta(n \lambda_{s+2}(n))$ .*

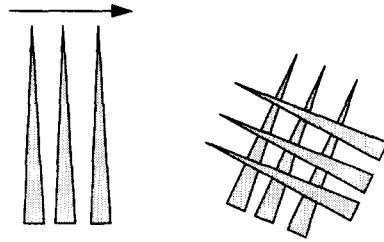


Fig. 1. A cubic number of EEE-events.

### The upper bound

We now prove the upper bound in Theorem 2.1. Every combinatorial change in the union of the pseudodiscs corresponds to an event of one of the following two types:

**VE-event:** a vertex of some polygon  $P_i$  crosses an edge of some other polygon  $P_j$ ;

**EEE-event:** an edge of some polygon  $P_i$  crosses the intersection point of two edges of distinct polygons  $P_j, P_k$ .

(In fact, VE-events can be seen as special cases of EEE-events, where two of the three edges come from the same polygon.) We are only interested in the *external events*, that is, the events taking place on the union boundary. Note that if an edge becomes incident with another edge parallel to it, there is always a VE-event involved. Hence, by bounding the number of VE-events we also bound the number of such parallel-edge events.

The first property of the trajectories of the pseudodiscs, namely that a vertex crosses an edge at most some fixed constant number of times, immediately implies a bound on the number of VE-events.

**Observation 2.3.** *The number of VE-events is  $O(n^2)$ .*

The EEE-events form the difficult case. Potentially every triple of edges may give rise to an EEE-event, leading to a cubic number of EEE-events. If the polygons are arbitrary, there can even be a cubic number of *external* EEE-events. Fig. 1 gives an example of this: a group of  $n/3$  triangle moves to the right, and passes a group of  $2n/3$  stationary triangles that form a grid. If the polygons are pseudodiscs, however, it is not possible to construct a grid-like union. In fact, the union of a collection of pseudodiscs has linear complexity [6]. In the remainder of this section we prove that the number of external EEE-events for a set of moving pseudodiscs is roughly quadratic, which implies a similar bound on the number of changes in their union.

Consider a fixed edge  $e$  of a polygon  $P_i$ . Without loss of generality, we may assume that  $e$  is stationary. (Think of an observer standing on  $e$ ; this observer sees the other polygons pass by, and sees  $e$  as stationary.) For  $j \neq i$ , we define  $I_j(t) := e \cap P_j(t)$ , that is,  $I_j(t)$  denotes the intersection of polygon  $P_j$  with  $e$  at time  $t$ . We also define  $\hat{I}_j(t) := \ell(e) \cap P_j(t)$ , where  $\ell(e)$  denotes the line through  $e$ . Fig. 2 illustrates these definitions. As the polygons move, the intervals  $I_j(t)$  and  $\hat{I}_j(t)$  change. An external EEE-event involving the edge  $e$  corresponds to a change in the union of the intervals  $I_j(t)$ . Thus, there is an external EEE-event involving  $e$  at time  $t^*$  if the following situation arises: there are two intervals

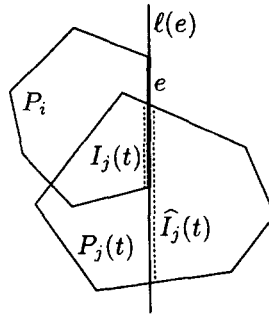
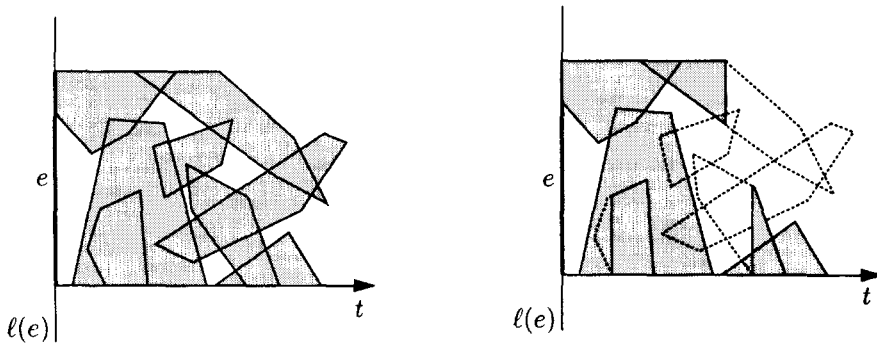
Fig. 2. The intervals on edge  $e$ .

Fig. 3. The edge–time diagram, and its modified version.

$I_j(t^*)$  and  $I_k(t^*)$  sharing an endpoint  $p$  that lies in the interior of  $e$  and is not contained in any interval  $I_l(t^*)$ . We say that  $e$  *witnesses* this event if both  $\hat{I}_j(t^*)$  and  $\hat{I}_k(t^*)$  contain an endpoint of  $e$ . (This notion corresponding to the notion of one obstacle ‘bounding’ another obstacle in the paper by Leven and Sharir [7].)

**Lemma 2.4.** *An edge  $e$  witnesses  $O(\lambda_{s+2}(n))$  EEE-events.*

**Proof.** Consider the *edge–time diagram* of  $e$ , which is defined as follows. The vertical axis in the diagram represents the line  $\ell(e)$ , and the horizontal axis represents time. For each  $P_j$  we draw the intervals  $I_j(t)$  in the diagram, for  $t \geq 0$ . Thus we draw for each polygon the set  $I_j := \bigcup_{t \geq 0} I_j(t)$ . Fig. 3 gives an example of an edge–time diagram for the case of pseudodiscs translating with fixed velocities in fixed directions. In that case the sets  $I_j$  are convex polygons, but this is not true in general. The number of (curved) edges on the boundary of  $I_j$  is proportional to the number of edges of  $P_j$ , so overall there are  $O(n)$  edges in the edge–time diagram. As observed earlier, an external EEE-event involving  $e$  corresponds to a change in the union of the intervals  $I_j(t)$ . Such a change in turn corresponds to a vertex of the union of the sets  $I_j$  in the edge–time diagram of  $e$ . However, not all such vertices correspond to EEE-events witnessed by  $e$ . Therefore we modify the diagram by drawing only the intervals  $I_j(t)$  witnessed by  $e$ —see Fig. 3. An EEE-event witnessed by  $e$  must be a vertex in this modified diagram. (The reverse is not necessarily true: a vertex in the modified diagram can lie inside the part of some  $I_j$  that was deleted in the modification.)

Such a vertex corresponds to an *EEE*-event that is not external. Since we are deriving an upper bound on the number of changes in the union boundary, we are allowed to count these events.) A set  $I_j$  in the modified diagram is ‘grounded’ to either the horizontal line through one endpoint of  $e$  or to the horizontal line through the other endpoint, that is, it is a histogram whose base lies on one of these lines. Consider the histograms whose bases lie on the lower of the two lines. A vertex of their union is a vertex of the upper envelope of the curved segments that form the boundary of these histograms. The trajectories of the pseudodiscs have the property that any triple of edges meets at most  $s$  times in a common point. Hence, two curved segments in the edge–time diagram intersect at most  $s$  times, so the upper envelope has complexity  $O(\lambda_{s+2}(n))$  [1]. Similarly, the vertices of the union of the histograms whose bases are on the higher of the two lines are on a lower envelope, so there are only  $O(\lambda_{s+2}(n))$  of them. The remaining vertices in the modified edge–time diagram are intersections between these two envelopes, of which there are  $O(\lambda_{s+2}(n))$  as well.  $\square$

Lemma 2.4 does not count all the external events involving  $e$ , only the ones that are witnessed by  $e$ . Indeed, it can be shown that the total number of external events involving  $e$  can be as large as  $\Theta(n\lambda_{s+2}(n))$ . However, an *EEE*-event involves three edges. We might hope that any external *EEE*-event is witnessed by at least one of these edges. Unfortunately this is not always true. But it is still possible to prove that the total number of *EEE*-events is  $O(n\lambda_{s+2}(n))$ —see the next lemma—thus finishing the proof of the upper bound in Theorem 2.1.

**Lemma 2.5.** *The total number of *EEE*-events is  $O(n\lambda_{s+2}(n))$ .*

**Proof.** The key observation in the proof is the following. Let  $e$  and  $e'$  be intersecting edges of pseudodiscs  $P$  and  $P'$ , respectively. Then  $e$  has an endpoint inside  $P'$ , or  $e'$  has an endpoint inside  $P$  (or both), because the boundaries of  $P$  and  $P'$  intersect in at most two points. This implies that if there is an *EEE*-event involving edge  $e_1$  of  $P_1$ , edge  $e_2$  of  $P_2$ , and edge  $e_3$  of  $P_3$  then at least one of the following two cases occurs:

- there is an edge  $e_i$  with an endpoint inside  $P_j$  and  $P_k$ , for  $\{i, j, k\} = \{1, 2, 3\}$ , or
- $e_1$  has an endpoint inside  $P_2$ ,  $e_2$  has an endpoint inside  $P_3$ , and  $e_3$  has an endpoint inside  $P_1$  (or  $e_1$  has an endpoint inside  $P_3$ ,  $e_3$  has an endpoint inside  $P_2$ , and  $e_2$  has an endpoint inside  $P_1$ ).

In the first case  $e_1$  witnesses the *EEE*-event. According to Lemma 2.4 there are only  $O(\lambda_{s+2}(n))$  such events for  $e_i$ , so the total number of such events is  $O(n\lambda_{s+2}(n))$ .

In the second case the event may not be witnessed by any of the three edges. However, we shall prove that the total number of such unwitnessed events is linear in the number of witnessed events. Let  $t$  denote the time of such an event, involving edges  $e_1$ ,  $e_2$  and  $e_3$  of polygons  $P_1$ ,  $P_2$  and  $P_3$ . The characterization above tells us that at time  $t$  the following holds (possibly after renumbering the polygons): polygon  $P_2$  is on an (upper or lower) envelope in the modified edge–time diagram of  $e_1$ , polygon  $P_3$  is on an envelope in the modified edge–time diagram of  $e_2$ , and polygon  $P_1$  is on an envelope in the modified edge–time diagram of  $e_3$ . Let  $t' < t$  be the last time before time  $t$  at which any of the three envelopes changed. In other words,  $t'$  corresponds to the last breakpoint before time  $t$  on one of the three envelopes. We charge the unwitnessed *EEE*-event at time  $t$  to the witnessed event at  $t'$ , and we claim that any witnessed event can be charged at most twice this way. Indeed, consider a breakpoint on an envelope in the modified edge–time diagram of some edge  $e$ . After the breakpoint, the envelope is defined by some edge  $e'$ ; the breakpoint can only be charged by an *EEE*-event involving  $e$ ,  $e'$  and some third edge  $e''$ . There are only

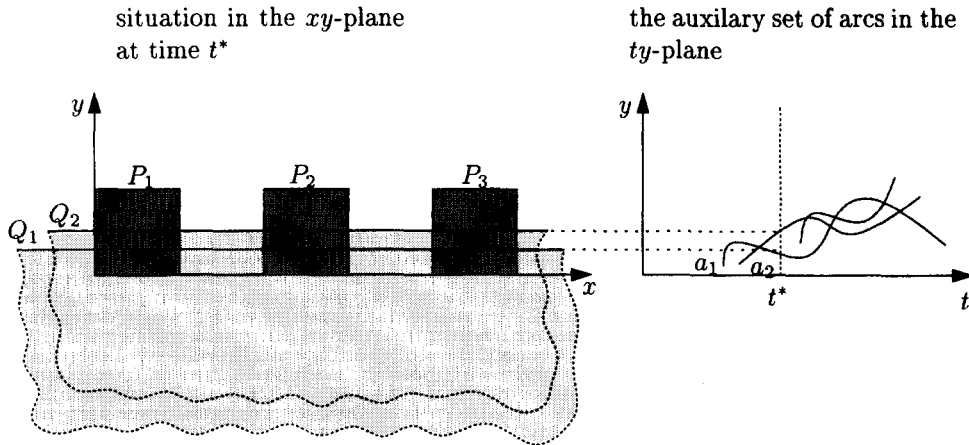


Fig. 4. The lower bound construction.

two candidates for this third edge, because it has to be on the upper or lower envelope in the modified edge–time diagram of  $e'$  at the time of the breakpoint. This proves the claim and, hence, the lemma.  $\square$

#### The lower bound

We now describe an example of a set  $\mathcal{P}$  of  $n$  moving pseudodiscs in the  $xy$ -plane (actually, they will be squares) whose trajectories have the properties mentioned in Theorem 2.1 and whose union changes  $\Theta(n\lambda_{s+2}(n))$  times. (Note that it is easy to obtain an  $\Omega(n^2)$  lower bound for linear motions: take a set of  $n/2$  disjoint squares whose bottom is aligned with the positive  $x$ -axis, and sweep the remaining ones across them from left to right.)

The first  $\lfloor n/2 \rfloor$  pseudodiscs are the squares  $P_i := [2i : 2i + 1] \times [0 : 1]$ , for  $0 \leq i \leq \lfloor n/2 \rfloor - 1$ . These squares are all stationary.

To construct the second half of the set of pseudodiscs, we first go to an auxiliary plane, namely the  $ty$ -plane. The  $y$ -axis in this plane corresponds to the  $y$ -axis in the  $xy$ -plane, and the  $t$ -axis represents time. Let  $A = \{a_1, \dots, a_{\lceil n/2 \rceil}\}$  be a set of  $\lceil n/2 \rceil$   $t$ -monotone arcs in the  $ty$ -plane such that any pair of arcs intersects at most  $s$  times and whose upper envelope has  $\Theta(\lambda_{s+2}(n))$  complexity [9]. Scale the set  $A$  such that all arcs lie strictly between the two horizontal line  $y = 0$  and  $y = 1$ . The idea is to create for each arc  $a_j \in A$  a square  $Q_j$  with the following property: if  $(t^*, y^*)$  is a point on  $a_j$ , then at time  $t^*$  the top edge of  $Q_j$  has  $y$ -coordinate  $y^*$  and it intersects each of the stationary squares  $P_i$ . Fig. 4 illustrates this. This requirement implies that the vertical velocity of  $Q_j$  at time  $t^*$  is determined by the tangent of the arc  $a_j$  at  $t$ -coordinate  $t^*$ . At times  $t^*$  when  $a_j$  is not defined (that is, the line  $t = t^*$  does not intersect  $a_j$ ),  $Q_j$  should not intersect any of the squares  $P_i$ ; to achieve this we park the square somewhere far enough from the scene. The parking lot should be such that squares can move in and out without intersecting any of the other parked squares. The set  $\mathcal{P}$  consists of the stationary squares  $P_i$  and the squares  $Q_j$ .

Suppose for a moment that it is possible to construct the set  $\mathcal{P}$ . We claim that then there are  $\Theta(n\lambda_{s+2}(n))$  changes in the union of  $\mathcal{P}$ . Indeed, consider a vertex  $(t^*, y^*)$  of the envelope of the set  $A$ . Let  $a_j$  be the arc that is on the envelope at time  $t^* - \varepsilon$ , and let  $a_k$  be the arc that is on the envelope at time  $t^* + \varepsilon$ , where  $\varepsilon$  is an infinitesimally small positive constant. In the  $xy$ -plane we then have the following

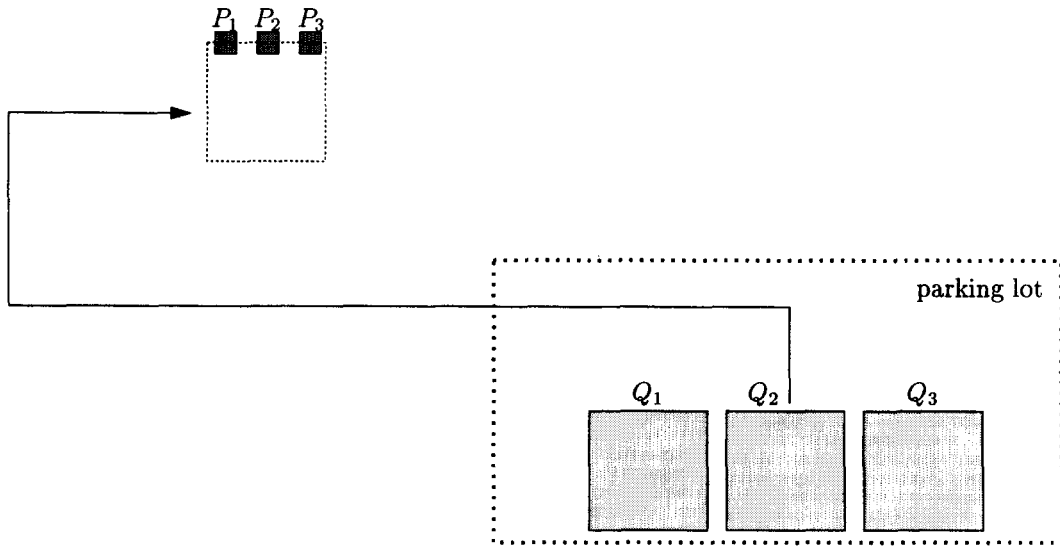


Fig. 5. Moving a square out of the parking lot.

situation. At time  $t^* - \varepsilon$  the top edge of  $Q_j$  intersects all stationary squares  $P_i$ , and it is the highest edge intersecting these squares. Thus the top edge of  $Q_j$  contributes a linear number of vertices to the union. At time  $t^* + \varepsilon$ , this is true for  $Q_k$ . Hence, at time  $t^*$ , when the top edge of  $Q_k$  overtakes the top edge of  $Q_j$ , there are  $\Theta(n)$  changes in the union of  $\mathcal{P}$ . Since the upper envelope of  $S$  has  $\Theta(\lambda_{s+2}(n))$  vertices, the total number of changes in the union is  $\Theta(n\lambda_{s+2}(n))$ .

There is one problem, however: it is not possible to construct the squares  $Q_j$  such that they have exactly the properties stated above. In particular, the properties imply that each  $Q_j$  would appear instantaneously at the time corresponding to the  $t$ -coordinate of the left endpoint of  $a_j$ : just before this time it should not intersect any of the stationary squares  $P_i$ , and just after this time it should intersect all of them. This problem is easy to overcome. If a square  $Q_j$  must appear at time  $t^*$ , we simply stop the motion of the other squares temporarily and move  $Q_j$  from its parking spot to the place where it must appear. This motion is such that no horizontal edge of  $Q_j$  crosses a horizontal edge of another square and such that  $Q_j$  approaches the squares  $P_j$  from the left. When the square should disappear, we again stop the other squares and move the square back to its parking spot by first moving  $Q_j$  to the right and then back to its parking spot. Fig. 5 illustrates how a square is moved from its parking spot to the place where it must appear. This way all the events corresponding to vertices on the envelope of  $A$  are realized. Moreover, any vertex of one square crosses an edge of another square at most some fixed constant number of times. We claim that any triple of edges meets at most  $s$  times in a common point. To see this, observe that one of the edges of the triple must be a vertical edge belonging to a stationary square. If one of the other two edges is vertical as well, there is only one event for this triple of edges, due to the parking regulations. If both other edges are horizontal, the event corresponds to an intersection between two arcs in  $A$ , so there are at most  $s$  events for the triple.

We have constructed a set of  $n$  moving squares whose union changes  $\Theta(n\lambda_{s+2}(n))$  times. This finishes the proof of Theorem 2.1.



**Remark 2.6.** The lower bound construction for  $s = 1$  as described above does not yield a set of pseudodiscs that move with constant velocity along a straight line. However, by choosing the sizes and velocities of the big squares carefully, it is possible to get  $\Theta(n^2\alpha(n))$  changes in this case as well.

### 3. Lines missing convex homothets in space

Let  $\mathcal{S}$  be a set of  $n$  convex homothetic polytopes of constant complexity in 3-space. (A set of objects is called homothetic if they are identical up to translation and scaling. A set of axis-aligned cubes is an example of a set of homothetic polytopes.) We do not assume that the polytopes are disjoint. We call a line a *free line* if it does not intersect the interior of any of the polytopes from  $\mathcal{S}$ . The goal of this section is to prove the bound on the combinatorial complexity of  $\mathcal{L}(\mathcal{S})$ , the set of all free lines, stated in the following theorem.

**Theorem 3.1.** *Let  $\mathcal{S}$  be a set of  $n$  convex homothetic polytopes of constant complexity in 3-space. The maximum complexity of the set  $\mathcal{L}(\mathcal{S})$  of free lines is  $O(n^2\lambda_4(n))$  and  $\Omega(n^3)$ .*

#### *The upper bound*

The complexity of  $\mathcal{L}(\mathcal{S})$  is determined, up to a cubic factor, by the number of lines touching four edges of four distinct polytopes and missing all other polytopes. (For disjoint polytopes this is easy to see; for intersecting polytopes it requires a little more thought, but it is still true.) In general, the latter number can be  $\Theta(n^4)$ : Take a set of long and skinny tetrahedra that form a grid when viewed from  $x = \infty$ , copy this set and translate the new grid some distance into the  $x$ -direction. For every pair of holes, one from the first grid and one from the copied one, there is a unique combination of four edges that can be touched by a line through the two holes. If the polytopes are homothetic a grid-like construction cannot be made, and one would expect that the complexity of  $\mathcal{L}(\mathcal{S})$  is less than  $\Theta(n^4)$ . In this section we prove that this is indeed true, and that the complexity is roughly cubic.

For a (directed) free line  $\ell$  touching four edges, we can order the four edges along  $\ell$ . We charge  $\ell$  to the first of these edges. We shall prove that each edge gets charged  $O(n\lambda_4(n))$  free lines in this manner.

Fix an edge  $e$  of one of the polytopes. Assume without loss of generality that  $e$  is contained in the plane  $h_0: x = 0$ . From now on, we only consider the part of each polytope that lies to the right of  $h_0$ . Let  $\ell$  be a free line touching four edges, of which  $e$  is the first one. Let  $p$  be the point where  $\ell$  touches  $e$ , and project the polytopes onto the plane  $h_1: x = 1$ , with  $p$  as the center of projection. Let  $x$  be the point where  $\ell$  intersects  $h_1$ . The point  $x$  is the common intersection of three edges of three distinct projected polytopes. Because  $\ell$  is free,  $x$  lies on the boundary of the union of the projected polytopes. Hence, the number of times we charge a free line to  $e$  equals the number of points  $p \in e$  for which three edges of projected polytopes meet in a common point that is on the boundary of the union of the projected polytopes. (More precisely, for each such point  $p$  we should count the number of triples of concurrent edges.) The idea of the proof is to move a point  $p$  along  $e$ , and see how often a triple of concurrent edges arises as the projected polygons move (and change shape) on the projection plane  $h_1$ . Clearly, the number of such events is bounded by the number of combinatorial changes in the union of the projected polytopes. To use the results of the previous section we must prove that the projections are pseudodiscs. For parallel

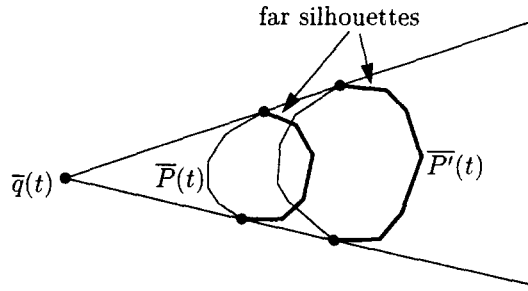


Fig. 6. Illustration for the proof of Lemma 3.2.

projections this is clear; in that case the projections are even homothets. That the projected polytopes are also pseudodiscs for perspective projections is proved next.

Let  $q_1$  and  $q_2$  be the two endpoints of  $e$ , and let  $p(t) := (1 - t)q_1 + tq_2$ . The point  $p(t)$  moves from  $q_1$  to  $q_2$  as  $t$  increases from 0 to 1. Let  $\bar{P}(t)$  denote the projection of the polytope  $P$  onto the plane  $h_1$ , with  $p(t)$  as the center of projection.

**Lemma 3.2.** *The set  $\{\bar{P}(t) : P \in S\}$  is a set of pseudodiscs for all  $0 \leq t \leq 1$ .*

**Proof.** Let  $P$  and  $P'$  be two polytopes from  $\mathcal{P}$ . We assume that no clipping was necessary for  $P$  and  $P'$ , that is, that they were entirely to the right of the plane  $h_0$ . The proof can easily be adapted to the case where one or both of the polytopes lies partly to the left of  $h_0$ . Because  $P$  and  $P'$  are homothets, there is a point  $q \in \mathbb{R}^3$ —the *center of similarity* of  $P$  and  $P'$ —such that  $P'$  can be obtained by scaling  $P$  with respect to  $q$ .

Consider the projections  $\bar{P}(t)$  and  $\bar{P}'(t)$  for an arbitrary  $t$  with  $0 \leq t \leq 1$ . Let  $\bar{q}(t)$  denote the projection of  $q$  onto  $h_1$ , with  $p(t)$  as the center of projection. Because  $q$  is the center of similarity of  $P$  and  $P'$ , there are two lines through  $\bar{q}(t)$  that touch both  $\bar{P}(t)$  and  $\bar{P}'(t)$ , as illustrated in Fig. 6. The points where these two lines touch  $\bar{P}(t)$  split  $\partial\bar{P}(t)$  into two pieces. We call the piece closest to  $\bar{q}(t)$  the *near silhouette* of  $\bar{P}(t)$ , and we call the farthest piece the *far silhouette* of  $\bar{P}(t)$ . The near and far silhouette of  $\bar{P}'(t)$  are defined analogously. The far silhouette of one of the polygons can intersect the near silhouette of the other polygon at most twice. To prove that  $\bar{P}(t)$  and  $\bar{P}'(t)$  are pseudodiscs it thus remains to show that the two near silhouettes, and the two far silhouettes, do not intersect each other.

To see that this is true we go back to three dimensions. Recall that  $q$  was a point such that  $P'$  can be obtained by scaling  $P$  with respect to  $q$ . Assume without loss of generality that  $P'$  is the bigger of the two polytopes. Consider the set of rays starting at  $q$  that are tangent to  $P$  (and, hence, to  $P'$ ). The points of tangency on  $P$  form a curve on the boundary of  $P$ , which partitions the boundary of  $P$  into two pieces. The piece closest to  $q$  is called the *near half*, and the piece farthest from  $q$  is called the *far half* of the boundary. Note that the near and far silhouettes of  $\bar{P}(t)$  are projections of pieces of the near half and far half of the boundary of  $P$ , respectively. Similarly the points of tangency on  $P'$  partitions its boundary into a near and a far half. If we take the two far halves of  $P$  and  $P'$  and consider all the segments joining  $q$  to them, we obtain two other homothetic convex solids  $F$  and  $F'$ . (In fact,  $F$  is the convex hull of  $P \cup \{q\}$ , and  $F'$  is the convex hull of  $P' \cup \{q\}$ .) The solid  $F$  is entirely contained in  $F'$ . Hence, from any point of view the silhouette of  $F$  has to be inside the silhouette of  $F'$ , which implies that the far silhouettes do not intersect in the projection.

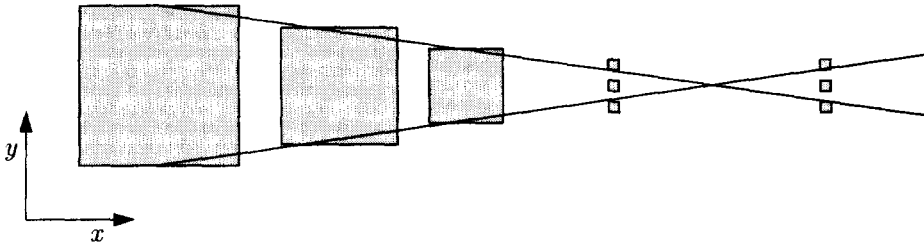


Fig. 7. Top view of the lower bound construction.

In a similar way it can be shown that the near silhouettes of  $\bar{P}(t)$  and  $\bar{P}'(t)$  do not intersect.  $\square$

We have shown that the projections  $\bar{P}(t)$  are pseudodiscs. Notice that the pseudodiscs change their shape during the motion, but this is of no importance; the only properties of the polygons that we need—besides that they are pseudodiscs at all times—are that a vertex crosses an edge at most some fixed constant number of times, and that a triple of edges meets at most  $s$  times in a common point, for some parameter  $s$ —see Corollary 2.2.

The first property is satisfied because for a given vertex  $v$  of some polytope, and a given edge  $e'$  of another polytope, there is at most one line through  $e$ ,  $v$  and  $e'$ . Furthermore there are at most two lines through any four-tuple of edges in general position. Hence, we may apply Theorem 2.1 with  $s = 2$  to bound the number of free lines touching a fixed edge  $e$  and three other edges. Summing over all edges  $e$ , we conclude that the total number of free lines touching four distinct edges is  $O(n^2 \lambda_4(n))$ . This finishes the proof of the upper bound of Theorem 3.1.

#### The lower bound

We describe an example of a set  $S$  of  $n$  cubes in 3-space such that  $\mathcal{L}(S)$  has  $\Theta(n^3)$  complexity, thus finishing the proof of Theorem 3.1. We assume without loss of generality that  $n$  is a multiple of three.

Fig. 7 shows a top view of the construction. There are two sets of  $n/3$  axis-aligned small cubes, whose top faces are all co-planar and which are such that the plane  $z = 0$  cuts each of them into two equal halves. They are arranged in such a way that they generate a quadratic number of free lines touching two vertical edges, one from the left set and one from the right set. In the figure only the two extreme lines are shown. Note that for each free line there is some freedom to ‘wiggle it around’ in the vertical direction, because the points where they touch the vertical edges can be varied. The third set used in the construction consists of  $n/3$  axis-aligned big cubes. The big cubes have their top faces in the plane  $z = 0$ . These cubes can be placed in such a way that each of the  $\Theta(n^2)$  free lines (generated by the small cubes) lying in the plane  $z = 0$  touches all the top faces of the big cubes, as in Fig. 7. That this is indeed possible can be seen by adding the big cubes one by one; first place the rightmost big cube—the one closest to the small cubes—, then place the next cube to left of it and make it big enough so that the extreme free lines touch it, and so on. Note that this means that the big cubes will become bigger and bigger, but this does not create any problems. What we have now is a collection of  $n$  cubes such that there are  $\Theta(n^4)$  four-tuples of edges that generate a free line: each of the  $\Theta(n^2)$  free lines generated by the small cubes lying in the plane  $z = 0$  forms such a four-tuple with each pair of edges of top faces of two big cubes. However, many four-tuples define the same free line. In fact, at this point there are only

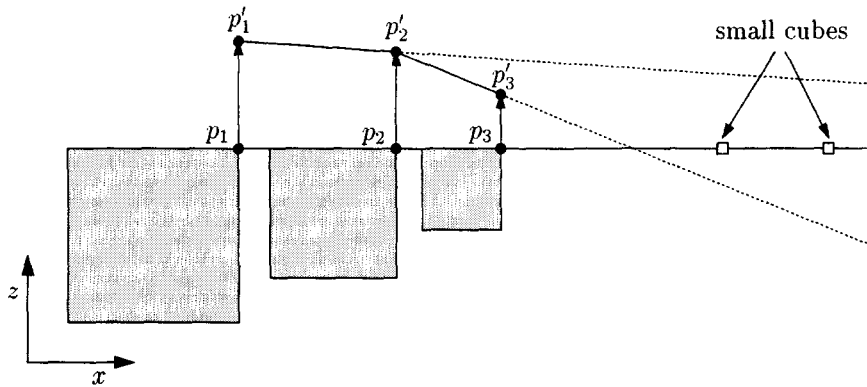


Fig. 8. Side view of the lower bound construction, before the vertical translation of the big cubes.

$\Theta(n^2)$  distinct free lines. To obtain a cubic number of distinct free lines we move each of the big cubes slightly in the positive  $z$ -direction, in the following manner. Consider the projection of the cubes onto the  $xz$ -plane. Let  $p_1, \dots, p_{n/3}$  be the top right vertices of the projected big cubes, numbered from left to right—see Fig. 8. Now translate each big cube in the positive  $z$ -direction in such a way that the points  $p'_i$ , which are the translated versions of the  $p_i$ , are in convex position with  $p'_1$  being the highest point and  $p'_{n/3}$  being the lowest point. Note that the line in the  $xz$ -plane through a pair  $p'_i, p'_{i+1}$  becomes tilted and may miss the projection of the small cubes, as in Fig. 8. But by making the translations small enough, this can be avoided. More precisely, by scaling all the vertical translations by some small enough  $\varepsilon$ , the points  $p'_i$  can be made to lie arbitrarily close to the  $x$ -axis. Furthermore, the lines through pairs  $p'_i, p'_{i+1}$  can be made arbitrarily close to horizontal, so that they all intersect the projected small cubes. Since the scaling factor is the same for each translation, the points  $p'_i$  are still in convex position. Going back to the 3-dimensional situation, this implies that the plane through the top right edges of two adjacent big squares will cut all the small squares. Hence, there are  $\Theta(n^2)$  distinct free lines for every such adjacent pair, thus giving rise to  $\Theta(n^3)$  distinct four-tuples of edges that can be touched by a free line.

#### 4. Concluding remarks

We have shown that the maximum number of changes in the union of  $n$  translating pseudodiscs is  $\Theta(n^2 \lambda_3(n))$ , and we generalized this result to pseudodiscs moving (and deforming) along curved trajectories. We applied this result to prove a bound on the complexity of the space of lines missing a set of  $n$  convex homothets in 3-space.

We see two major challenges left.

The first is to extend our results to moving discs in the plane. This is equivalent to bounding the complexity of the union of a set of cylinder-like objects in 3-space. (The objects are not real cylinders, as the cross-section of such an object with any horizontal plane is a disc, instead of the cross-section with a plane perpendicular to its axis.) Thus the problem is closely related to bounding the complexity of the Voronoi diagram of a set of lines in 3-space.

The second challenge is to extend the result to fat polygons. Such an extension could be useful to prove a bound on the complexity of the space of lines missing a set of fat tetrahedra in 3-space, in the spirit of

Section 3. We believe that this would be an important step in understanding the behavior of fat objects in 3-space.

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